

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re

Application No.: 10/666,428  
Applicant(s): Joseph D. FARAM  
For: CONTINUOUS HIGH-FREQUENCY OSCILLATION  
BREATHING TREATMENT APPARATUS  
Confirmation No.: 8637  
Customer No.: 50939  
Docket No.: COME-33431-US  
Filed: September 22, 2003  
Group Art Unit: 3743  
Examiner: Adam Curtis Brandt

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the Examiner's Office Action dated August 9, 2006 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant wishes to bring the following items to the attention of the Examiner. Copies of each document are enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence. The references cited below are not intended to constitute an admission of any kind.

The following documents are cited in the specification of the application in the order they appear:

- (1) Bird, U.S. Patent No. 4,838,260, issued June 13, 1989;

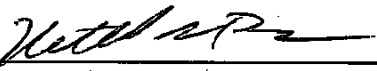
- (2) Bird, U.S. Patent No. 4,930,501, issued June 5, 1990;
- (3) Bird, U.S. Patent No. 5,116,088, issued May 26, 1992; and
- (4) Bird, U.S. Patent No. 5,165,398, issued November 24, 1992.

The following references are also cited in the specification of the application. However, they are not disclosed in the Information Disclosure Statement since the references were listed on the Examiner's "Notice of References Cited".

- (1) Bird, U.S. Patent No. 4,592,349, issued June 3, 1986;
- (2) Bird, U.S. Patent No. 4,805,613, issued February 21, 1989;
- (3) Bird, U.S. Patent No. 5,007,420, issued April 16, 1991; and
- (4) Bird, U.S. Patent No. 5,862,802, issued January 26, 1999.

This Information Disclosure Statement is being filed after the mailing of a first Office Action, and before the mailing date of either a final Office Action under § 1.113, and a Notice of Allowance under § 1.311. Therefore, a fee of \$180.00 as set forth in § 1.17(p) will be charged to Hitchcock Evert LLP's firm credit card. Accordingly, a Fee Transmittal will be filed concurrently herewith. If it should be determined that a further fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Hitchcock Evert LLP's Deposit Account No. 503374. Please credit any overpayment to Deposit Account No. 503374.

Respectfully submitted,

By:   
Nathanael G. Barnes  
Reg. No. 46,286  
Attorney for Applicant

NGB/mhg  
HITCHCOCK EVERT LLP  
P.O. Box 131079  
Dallas, Texas 75313-1709  
Direct: (214) 953-1161  
Facsimile: (214) 953-1121  
November 9, 2006

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	1
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**Complete if Known**

Application Number	10/666,428
Filing Date	September 22, 2003
First Named Inventor	Joseph D. FARAM
Art Unit	3743
Examiner Name	Adam Curtis Brandt
Attorney Docket Number	COME-33431-US

**U. S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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